



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066,801	02/06/2002	Michael Przewosny	148/50871

23911
CROWELL & MORING LLP
INTELLECTUAL PROPERTY GROUP
P.O. BOX 14300
WASHINGTON, DC 20044-4300

CONFIRMATION NO. 4473

FORMALITIES LETTER



OC00000000776482

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/03/2002 TBESHAN1 00000042 10066801

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	162.00 OP
03 FC:102	168.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$414.
 - \$162 for 9 total claims over 20.
 - \$252 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1284.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

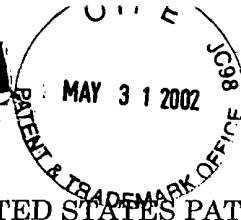
- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

C. Robinson
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



74-0066801-053106

#3

MPA

Attorney Docket: 148/50871

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MICHAEL PRZEWOSNY ET AL
Serial No.: 10/066,801
Filed: FEBRUARY 6, 2002
Title: SUBSTITUTED PYRROLIDIN-2,3,4-TRION-3-OXIME DERIVATIVES
WHICH ARE ACTIVE AS NMDA-RECEPTOR ANTAGONISTS

SUBMISSION OF MISSING PARTS IN APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached hereto please find:

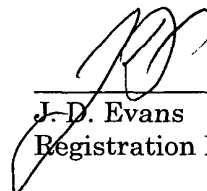
1. The Notice to File Missing Parts of Application.
2. The executed Declaration.
3. Assignment for recordation, including a check in the amount of \$40.00.
4. Abstract of the Disclosure.
5. A check in the amount of \$1200.00 in payment of the basic filing fee of \$740.00, the \$330.00 additional claim fee, and the surcharge of \$130.00 for late submission of the Declaration.

(Please note that this application contains a total of five independent claims (Claims 1, 12, 13, 14, and 15) and 29 total claims, and therefore the additional claim fee is \$330.00 rather than \$414.00 as indicated on the Notice to File Missing Parts.)

Please credit any overpayments or charge any additional fees to the Deposit Account of Crowell & Moring LLP, Account Number 05-1323 (Docket #148/50871). A duplicate copy of this letter is attached.

Respectfully submitted,

May 31, 2002



J. D. Evans
Registration No. 26,269

CROWELL & MORING, LLP
P.O. Box 14300
Washington, DC 20044-4300
Telephone No.: (202) 624-2500
Facsimile No.: (202) 628-8844
JDE/ajf

CW